

APPENDIX A

PROPOSED EARMARKING OF REVENUE UNDERSPENDS / BALANCES TO BE CARRIED FORWARD TO 2016/17

Section A - Cabinet / Statutory

SERVICE AREA & ITEM	DESCRIPTION OF ITEM	£
<u>PEOPLE</u>		
<u>BU1 - Education, Early Start & Prevention</u>		
Schools - Delegated DSG surplus balances	Represents the overall level of surplus balances on schools delegated budgets. These are held in the respective schools' bank accounts.	5,054,987
Schools - Centrally Retained DSG budgets	Represents the balance on centrally retained DSG budgets held / managed by the Council on behalf of schools or required to be allocated to schools.	500,707
Schools - devolved grants / funding	Represents the balance of Pupil premium / other schools grant funding allocated / distributed to schools	177,057
sub-total People		5,732,751
<u>PLACE</u>		
Heritage & Arts- Wentworth Trust	Earmarking of underspend on grant payments to the Trust following suspension of loan repayments in 2015/16	51,330
sub-total PLACE		51,330
<u>COMMUNITIES</u>		
Customer Services - ILAH Contingency	Monies to create contract contingency for ILAH Barnsley Ltd	331,000
Catering - Electronic Kitchen Management System	Electronic Kitchen Management System- funding for pilot 16/17 and roll out 17/18. Best estimated cost for all sites equipment, first year running costs and initial increase in labour costs (training and transition)	140,000
Healthier Communities - Substance Misuse	Underspend in Substance Misuse in 2015/16 - proposal to carry forward to manage risks associated with significant reduction in funding and re-tendering process during 2016/17	27,000
Safer Barnsley - Approved Salary Commitments	Post previously approved over a number of years to be funded from earmarked resources	52,000
Safer Barnsley - Increased Support for Legal Caseloads	Funding for additional support to assist in preparation of legal case files due to significant increases in enforcement cases going to court	20,000
	<u>sub-total Communities</u>	570,000
<u>CORE SERVICES</u>		
HR - Executive Director Request for additional Post	Additional requirement following discussion between ED and Chief Exec	52,000
HR - Health and Safety Civil Contingencies Advisor	Civil contingencies advisor post	41,000
HR - Equality and Diversity	Funding for equality and diversity to support ongoing Council change processes	50,000
HR - Performance Improvement - Corporate Programme Manager	Additional corporate programme manager	51,000
Assets - BSF Funding Model	Balance on BSF Account	1,278,000
	<u>sub-total Core Services</u>	1,472,000
<u>CORPORATE</u>		
Minimum Revenue Provision		14,100,000
Planned Maintenance		750,000
Capital Financing		13,268,588
	<u>sub-total Corporate</u>	28,118,588
A - TOTAL PROPOSED EARMARKING (CABINET / STATUTORY)		35,944,669

PROPOSED EARMARKING OF REVENUE UNDERSPENDS / BALANCES TO BE CARRIED FORWARD TO 2016/17

Section B - Slippage

SERVICE AREA & ITEM	DESCRIPTION OF ITEM	£
People		
<u>BU1 - Education, Early Start & Prevention</u>		
Inclusion services - SEN Reforms grant funding	Amount to be carried forward represents the balance of funding provided by DfE to enable the authority meet the requirements of the Children's Bill, particularly in relation to transferring all SEN statements to the new person centred Education, Health and Care plan.	50,000
Early Years & Children centres - ICT projects	The earmarking relates to a number of urgent IT projects which should have been completed before 31.3.16 but have been delayed by central ICT. These comprised: moving existing NGFL and school based servers and IT from NGFL to corporate network, BMBC servers at Beevor Court and Corporate telephony. The IT work mostly relates to children centres that have transferred back to the Council as part of the reconfiguration of children / family centres.	90,000
Children Joint Commissioning unit - BSARCS funding		18,000
<u>BU2 - Adult social care & health</u>		
Service Director Management - CCG funding	Comprised a number of funding allocations provided by the CCG to be set aside for 2016/17 for transformational change across the whole health and social care system.	2,600,000
Service Director Management - Care Act implementation grant funding	Resource relates to uncommitted care act funding to be set aside to fund the temporary contract extension of the project lead of the LD transformation work (new service model / procurement of the revised supported living provision)	76,000
Adults Joint Commissioning unit - Transformation / resilience funding	This is comprised of a number of CCG funding aimed at enhancing capacity (through temporary staffing posts) within the Commissioning unit to undertake the following: (1) to deliver all the required aspects of the Building and Right Support Programme as well as continue to manage and undertake the Care and Treatment Review process; (2) provide support worker capacity to help undertake the LD transformation project work i.e. review of high cost placements / new service model for supported living; (3) to build mental health resilience in schools	196,919
<u>BU3 - Children Social Care & Safeguarding</u>		
Children Disability & Short Breaks - Parent Partnership funding	The Local Authority (LA) has a duty to provide Parents carers, children and Young People with Independent and impartial Information, Advice and Support (IASS) on their rights and entitlements under the various SEND Regulations. The earmarking has arisen as a consequence of slippage in the use of the support grant from the National Children's Bureau (recruitment to a temporary post).	28,330
<u>Executive Director - People</u>		
BMBC/CCG s75 Children services - aligned budget / funding	Represents slippage on the use of additional CCG funding on jointly commissioned children services - by BMBC (BSARCS funding - £50k) and by SWYPFT for the following: Eating Disorders (£146k); Enhanced SPA (£30k); Support to YOT (£30k).	328,000
sub-total People		3,387,249

SERVICE AREA & ITEM	DESCRIPTION OF ITEM	£
<u>PLACE</u>		
Economic Regeneration - Tech Refresh	Slippage in refresh of IT equipment across the service	20,000
Culture, Housing & Regs - Tech Refresh	Slippage in refresh of IT equipment across the service	10,000
Sport - Passport to Leisure	Contributions from ASOS to fund PTL scheme	18,000
Regulatory Services - Public Health Funding	Continued Funding of Illicit Tobacco post in Regulatory Services	45,000
Regulatory Services - Taxi Demand Survey	Contributions collected from taxi drivers to fund a demand survey. If this is not carried out the funds will be donated to a charity nominated by the trade association	22,000
Planning Policy - Neighbourhood Plan Grant	Slippage of expenditure on grant paid in advance	10,000
Development Management Fee	Planning Fee income	30,000
Adult Skills - Slippage of grant funding	Slippage of grant funded expenditure into 2016/17 (SFA/EFA)	238,560
Transportation & Design - Eco Stars Grant	Slippage of Eco Stars Grant into 2016/17	15,000
Environment & Transport	Transition funding to support the delivery of 2016/17 Savings	190,000
sub-total PLACE		598,560
<u>Communities</u>		
Customer Services - UIA - External Funding	External funding to support work around universal information advice and guidance	50,000
DFG - Equipment and Adaptations	Balance of CCG funding for Stayput Contract	73,000
Healthier Communities - DHR Funding	External funding provided for Domestic Homicide Reviews	14,000
Healthier Communities - Local Welfare Assistance Schem	Balance of Local Welfare Assistance scheme carried forward year on year in order to maintain provision through the wider review of Welfare Provision in 2017/18	450,000
Healthier Communities - Community Shop	Funding set aside from 2015/16 resources for additional Community Shop provision	50,000
Healthier Communities - Balance of DWP funding	Balance of DWP specific funding in support of roll out of universal credit	44,000
Healthier Communities - Think Family	Balance of Think Family external funding which is managed over a multi year period. Funding is required for future year commitments and is linked to profile of how funding comes in	738,000
Stronger Communities - Lets Grow Worker	External funding for 'Lets grow worker' to be undertaken in 2016/17	29,000
Stronger Communities - Balance of Area Council Funding	Area Council - Balance of delegated funding	1,820,000
Executive Director - Transformation Funding	Transformation funding committed to specific programmes of work that are being undertaken to support the communities agenda	1,990,000
sub-total Communities		5,258,000

SERVICE AREA & ITEM	DESCRIPTION OF ITEM	£
<u>Public Health</u>		
Balance of Public Health Grant	Balance of Public Health Grant - committed to future years spend per medium term financial plan	1,932,000
<u>sub-total Public Health</u>		<u>1,932,000</u>
<u>Core Services</u>		
IT - Replacement Infrastructure	Underspend earmarked against requirement to review and replace a significant amount of the Council IT's existing infrastructure ensuring it is fit for purpose moving forward	489,000
Finance - System Implementation	System requirements associated with managing through the impact of a reduction in Council Tax and Housing Benefit administration grant; and transition of Housing Benefit to DWP	250,000
HR - Balance of IT Tech Refresh Monies	Monies for replacement IT - Managed over a multi year period	10,000
HR - Recruitment and Safeguarding	Funding set aside for system replacement and future year staffing commitments	136,000
HR - Corporate Training Budget	Funding for corporate training and leadership programme - committed against future year	175,000
HR - Workforce Development - Other LA Funding	Funding held on behalf of other local authorities for regional training provision	45,400
<u>sub-total Core Services</u>		<u>1,105,400</u>
B - TOTAL PROPOSED EARMARKING (SLIPPAGE)		<u>12,281,209</u>
	Total Statutory	35,944,669
	Total Slippage	<u>12,281,209</u>
		<u>48,225,878</u>
	Schools Earmarking	5,054,987
	GF Earmarkings	<u>43,170,891</u>
		<u>-</u>

KEY LINES OF ENQUIRY - VARIANCES AGAINST APPROVED BUDGET

	Target	Outturn	Variance		
PEOPLE					
<i>BU1 - Education & Early Start Prevention</i>					
<i>Delivery on Target</i>					
PO1	Paediatric First Aid & Safety Training	8,000	8,000	0	
PO2	Procurement Advice to Schools	50,000	50,000	0	
COM7	Advocacy	40,000	40,000	0	
PO1	Reconfiguration of Early Childhood Provision	500,000	500,000	0	
PO2	Reconfiguration of Targeted Youth Service	350,000	350,000	0	
				0	
		948,000	948,000	0	
<i>BU2 - Adult Assessment & Care Management</i>					
<i>Delivery on Target</i>					
PO3	Cease procurement of intermediate care beds	796,000	796,000	0	
PO4	Cease contribution to SWYPFT for intermediate care (largely hospital at home)	202,000	202,000	0	
COM2	Worklessness	25,000	25,000	0	
<i>Delays in delivery</i>					
PO5	Reduce mental health contract with SWYPFT	500,000	408,000	-92,000	Element of the MH contract KLOE savings (£200k) is being managed in the short term through a review of care packages plus attrition / turnover of clients. On a recurrent basis, staff restructuring of the MH team managed by SWYPFT (i.e. deletion of 6 posts) has been proposed for implementation.
<i>Part or Non Delivery</i>					
PO6	Cease provision of medication checks in peoples homes	200,000	0	-200,000	Non-achievement of the Medication checks KLOE - plan is to manage this risk within the Purchasing budget. High risk given demographic pressures, etc.
PO7	Reduction in residential Care beds	300,000	0	-300,000	High risk given demographic pressures. A re-enablement strategy has been put in place, its believed the effects will probably not come through until April 2016.
				0	
		2,023,000	1,431,000	-592,000	
<i>BU3 - Children Assessment & Care Management</i>					
<i>Delivery on Target</i>					
PO3	Short Breaks	4,000	4,000	0	
PO4	Young Carers	20,000	20,000	0	
PO8	Safeguarding - investment in quality assurance & policy capacity	-100,000	-100,000	0	
PO9	Establish a pathway for young people at risk of care or homelessness (Adolescents support; Quality & Assurance; Assessment & Care)	-350,000	-350,000	0	
PL9	Other strategic management - Third party contributions to other entities	15,000	15,000	0	
				0	
		-411,000	-411,000	0	
PEOPLE DIRECTORATE SUMMARY					
	<i>KLOE's on target</i>	1,560,000	1,560,000	0	
	<i>KLOE's delay in Delivery</i>	500,000	408,000	-92,000	
	<i>KLOE's not on target</i>	500,000	0	-500,000	
	TOTAL PEOPLE KLOE's	2,560,000	1,968,000	-592,000	

PLACE

	£	£	£
	Target	Outturn	Variance

BU4

Delivery on Target

Provision of Enterprise Coaching / Business Support to start-ups	340,000	340,000	0
Ambition Bamsley - Pathways to Participation	3,000	3,000	0
Inspirational Leaders - "I Know I Can"	45,000	45,000	0
Maintenance of Shopping Centre Doors	24,000	24,000	0
South Yorkshire Mining Advisory Service	2,000	2,000	0
South Yorkshire Archaeology Service	1,000	1,000	0
Increase in fees and charges District Markets	15,000	15,000	0
Employment and Skills reorganisation	300,000	300,000	0
Introduction of a casual vacancy factor for non-trading services	57,000	57,000	0
One year only savings were utilised as part of a budget realignment exercise.	-100,000	-100,000	0
Further resource in Planning to deliver major projects. This will help to ensure a smooth process for major applications.	-33,000	-33,000	0
New business and employment team to support Jobs and Business Growth Plan.	-100,000	-100,000	0
Town Centre events. This will support both the Cultural offer and the Market development.	-100,000	-100,000	0
Planning policy project funding. This will help to fund one-off revenue costs for which there is no base funding e.g. Examinations in Public	-30,000	-30,000	0
Increase planning fee income target	25,000	25,000	0
Increase the budget for planning fee income to reflect actual income being received	50,000	50,000	0
	499,000	499,000	0

BU5

Consideration of options to reduce subsidy payment provided to BPL	150,000	150,000	0
Third Party Contributions to Various Entities' Running Costs	45,000	45,000	0
Price increases for burials and cremations	100,000	100,000	0
Other miscellaneous increases in income e.g. Licensing and Dogs	45,000	45,000	0
Reduce the Archives Service by reduced weekend opening and rationalisation of the retrieval service.	40,000	40,000	0
Cease contribution to the HRA for private housing elements of communal land grass cutting on Council House estates. This may impact on the 30 year business plan and rent levels.	400,000	400,000	0
Introduction of a casual vacancy factor for non-trading services	133,000	133,000	0
Marketing the Cultural offer. This will help to deliver the commercial strategy and additional income.	-30,000	-30,000	0
Cultural events and logistics. This will add to the resource available for events management and support the development of a higher income stream.	-35,000	-35,000	0
Development of an income stream from local energy production.	45,000	45,000	0

Part or Non Delivery

Increase in income in Culture following the development of a commercial approach.	50,000	0	-50,000
	943,000	893,000	-50,000

£36K shortfall in income last year plus a further loss of £16K this year from Hussars. This means a £100K upturn in income is required. Discussions with Lynn Dunning ongoing to formulate an action plan

BU6

Waste PFI	300,000	300,000	0
Smithies ECO Stars	50,000	50,000	0
Woodchipper	20,000	20,000	0
Town Centre New Markets Waste	25,000	25,000	0
Provision of Skips for Waste removal arising from town centre markets	6,000	14,000	8,000
Income for Pest Control	25,000	25,000	0
Various items of plant/equipment	75,000	75,000	0
Hanging Baskets	28,000	28,000	0
Highway Materials	270,000	270,000	0
PTE Levy	35,000	35,000	0
Adults PD transport provision	40,000	40,000	0
Free provision for pre-school children	3,000	5,000	2,000
Road Fund licence registration	2,000	3,375	1,375
Cleaning and repairs	3,000	3,000	0
Transport Services Structure	100,000	100,000	0
Increased external income/profit	50,000	50,000	0
Timesheet recording in Neighbourhood Services	30,000	30,000	0
Budget Realignment in Highways	50,000	50,000	0
Vacancy factor	90,000	400,000	310,000
Street lighting - stop night inspections	25,000	25,000	0
Overtime payment for Environment drivers - contractual overtime	100,000	100,000	0
Waste service - part reversal of 14/15 KLOE (men and vehicles)	-450,000	-400,000	50,000
Car parking income	-100,000	0	100,000
Neighbourhood - more efficient use of plant and materials	50,000	50,000	0
Mi Card Scheme	200,000	200,000	0
Fleet Workshop	50,000	50,000	0
Neighbourhood services income generation	50,000	50,000	0

Contract review for procurement of highways materials. Delay in tender exercise. Meeting with Sean 12.06.2015 to discuss progress & need to capitalise original material cost therefore savings realised in revenue account.

Minor restructuring being initiated

Delays in implementing changes?

Delays in delivery

Adults external contracts for transport	30,000	18,000	-12,000
Route optimisation	25,000	10,000	-15,000
Replace Tranman	10,000	3,000	-7,000
Adults PD Transport Provision	40,000	35,000	-5,000

Contract signed with Yorkshire Ambulance Service

SAP team do not have to capacity to implement this module in SAP this year

Part or Non Delivery

Income generation from the sale of recyclates	80,000	35,000	-45,000
Waste Collection in Summer Only	50,000	34,700	-15,300
HWRC opening Hours	40,000	0	-40,000
Income Generation - Various	126,000	67,790	-58,210
Post 16 transport	40,000	0	-40,000
Adult client charging	30,000	26,000	-4,000

£366K shortfall in income last year, plus reliant on public recycling paper, cardboard and glass, cans and plastic. Trend for paper is declining (best income per tonne), will only see full year effect of cardboard this year

Various duplicates/non starters in here.

slight improvement on Q3 position due to more clients on buses increasing income collected

Travel training	150,000	25,000	-125,000	This takes time to set up and for clients to gain confidence therefore there will be delays in achieving this
Car body repair contract	27,000	3,000	-24,000	
Track You Usage	32,000	20,000	-12,000	£50k saving over 3 years
HWRC Dev' Opps	50,000	10,000	-40,000	
Lease Vehicles	50,000	25,000	-25,000	Increased sales income from auctions to offset shortfall
Revised strategy for non-core services	75,000	5,000	-70,000	
Third Party Contributions to Various Entities' Running Costs - SY				Commercial waste business strategy complete. Business plan being developed
Camera Safety Partnership	10,000	0	-10,000	
Transport vehicle minor accident repairs & EO service items	25,000	20,000	-5,000	
Alternative Options Identified				
BDR Cost Book Saving on final account	50,000	50,000	0	
	2,067,000	1,985,865	-81,135	

PLACE DIRECTORATE SUMMARY			
<u>KLOE's on target</u>	2,569,000	3,040,375	471,375
<u>KLOE's with Delays</u>	105,000	66,000	-39,000
<u>KLOE's not on target</u>	835,000	271,490	-563,510
TOTAL PLACE KLOE's	3,509,000	3,377,865	-131,135

COMMUNITIES	£ Target	£ Outturn	£ Variance
<u>BUZ</u>			
<u>Delivery on Target</u>			
Food	30,000	30,000	0
Equipment & Adaptations - SWYPFT	100,000	100,000	0
Library Provision	100,000	100,000	0
Independent Living at Home - Response	200,000	200,000	0
LD - Community Support	100,000	100,000	0
	530,000	530,000	0

<u>BUS</u>			
<u>Delivery on Target</u>			
Substance Misuse	200,000	200,000	0
Be Well Barnsley	700,000	700,000	0
Advocacy	160,000	160,000	0
Welfare Rights	150,000	150,000	0
Safer, Stronger, Healthier Restructure	500,000	500,000	0
Housing Floating Support	200,000	200,000	0
Ward Budgets	210,000	210,000	0
	2,120,000	2,120,000	0

COMMUNITIES DIRECTORATE SUMMARY			
<u>KLOE's on target</u>	2,650,000	2,650,000	0
<u>KLOE's with Delays</u>	0	0	0
<u>KLOE's not on target</u>	0	0	0
TOTAL COMMUNITIES KLOE's	2,650,000	2,650,000	0

PUBLIC HEALTH	£ Target	£ Outturn	£ Variance
<u>Delivery on Target</u>			
School Nursing	233,000	233,000	0
SWYPFT - Healthy Settings	50,000	50,000	0
Re-alignment of PH Funded Priorities	3,194,000	3,194,000	0
Public Health Restructure	175,000	175,000	0
Contract Management	39,000	39,000	0
Healthy Settings	215,000	215,000	0
	3,906,000	3,906,000	0

PUBLIC HEALTH DIRECTORATE SUMMARY			
<u>KLOE's on target</u>	3,906,000	3,906,000	0
<u>KLOE's with Delays</u>	0	0	0
<u>KLOE's not on target</u>	0	0	0
TOTAL PUBLIC HEALTH KLOE's	3,906,000	3,906,000	0

CORE SERVICES

FINANCE, ASSETS & INFORMATION SERVICES

	£ Target	£ Outturn	£ Variance
<u>Delivery on Target</u>			
Subscriptions	15,000	15,000	0
Insurances	35,000	35,000	0
Customer Service Review	410,000	410,000	0
Facilities Management	130,000	130,000	0
BSF Review	200,000	200,000	0
Agency Staff	50,000	50,000	0
Bull Contract	1,000,000	1,000,000	0
Phones	56,000	56,000	0
Finance Restructure	1,326,000	1,326,000	0
Income Generation	100,000	100,000	0
Investment Requirements	-323,000	-323,000	0
Grant Fall Out	-185,000	-185,000	0
Stationery	15,000	15,000	0
Travel	11,000	11,000	0
	2,840,000	2,840,000	0

LEGAL & GOVERNANCE

	£ Target	£ Outturn	£ Variance
<u>Delivery on Target</u>			
Legal 1 Restructure Legal	87,000	87,000	0
Legal 3 Reduction in Publications	10,000	10,000	0
Legal 4 Restructure Governance	46,000	46,000	0
<u>Delays in delivery</u>			
Legal 5 Reduction in Printing	30,000	0	-30,000
<u>Part or Non Delivery</u>			
Legal 2 Income Generation	37,000	37,000	0
	210,000	180,000	-30,000

HUMAN RESOURCES, COMMUNICATIONS AND PERFORMANCE & PARTNERSHIPS

	£ Target	£ Outturn	£ Variance
<i>Delivery on Target</i>			
Recruitment and Advertising	20,000	20,000	0
Business Support	760,000	760,000	0
Equality Forum	6,000	6,000	0
Marketing and Promotional Goods	20,000	20,000	0
HR Change Management Resource	130,000	130,000	0
Performance and Comm's Restructure	494,000	494,000	0
	1,430,000	1,430,000	0

CORE SERVICES SUMMARY

<i>KLOE's on target</i>	4,413,000	4,413,000	0
<i>KLOE's with Delays</i>	30,000	0	-30,000
<i>KLOE's not on target</i>	37,000	37,000	0
TOTAL CORPORATE SERVICES KLOE'S	4,480,000	4,450,000	-30,000

OVERALL KLOE SUMMARY

<i>KLOE's on target</i>	15,098,000	15,569,375	471,375
<i>KLOE's with Delays</i>	635,000	474,000	-161,000
<i>KLOE's not on target</i>	1,372,000	308,490	-1,063,510
	17,105,000	16,351,865	-753,135