

MEETING:	Audit Committee
DATE:	Wednesday, 3 June 2020
TIME:	4.00 pm
VENUE:	THIS MEETING WILL BE HELD VIRTUALLY

AGENDA

Procedural/Administrative Items

1. Declarations of Pecuniary and Non-Pecuniary Interest
2. Minutes (*Pages 5 - 10*)

To receive the minutes of the meeting held on the 22nd January, 2020.

Items for Discussion/Decision

3. Revisions to the Audit Committee Terms of Reference (*Pages 11 - 12*)

The Executive Director Core Services will submit a report highlighting the suggested amendments to the Committee's Terms of Reference following the review undertaken recently and seeking authority for these to be approved by the Chief Executive in consultation with the Cabinet Spokesperson Core Services in accordance with the Constitution in the absence of a Council meeting.

4. Information Governance, Cyber Security and Data Protection Officer Update (*Pages 13 - 18*)

The Committee will receive a presentation from Sara Hydon, Head of IT (Service Management) and Rob Winter (Data Protection Officer) providing an update on Information Governance, Cyber Security and Data Protection.

5. Covid 19 Update

The Committee will receive an update on the action taken by the Authority in relation to the Covid 19 pandemic.

6. Glassworks - Update

The Committee will receive an update on the current situation and progress being made in relation to the Glassworks project.

7. Annual Governance Statement Action Plan 2018/19 (*Pages 19 - 22*)

The Chief Executive and Executive Director Core Services will submit a joint report supporting the updated Action Plan (detailed at Appendix 1) relating to the issues identified following the Annual Governance Review for 2018/19.

8. Annual Governance Review Progress and Timescales (*Pages 23 - 24*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report providing and update on the proposed Annual Governance Review process to be

adopted for the production of the Annual Governance Statement for 2019/20.

9. Risk Management Update (*Pages 25 - 30*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report providing and update on the development of a new approach to risk management.

10. Annual Fraud Report 2019/20 and Counter Fraud Plan 2020/21 (*Pages 31 - 46*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report providing an account of counter fraud related activity undertaken by the Internal Audit Corporate Anti-Fraud Team during the period 1st April 2019 to 31st March 2020 and informing the Committee of the Team's Plan for 2020/21.

11. Interim Internal Audit Annual Report 2019/20 (*Pages 47 - 64*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit an interim annual report on the adequacy and effectiveness of the Authority's Internal Control Arrangements based on the work of Internal Audit for 2019/20 which has been prepared in accordance with the Public Sector Internal Audit Standards.

12. Internal Audit Charter (*Pages 65 - 78*)

The Head of Internal Audit, Anti Fraud and Assurance will submit report prefacing the Internal Audit Charter 2018-20 which has been prepared in accordance with the Public Sector Internal Audit Standards which provides a reminder of the key aspects of the Internal Audit Function and gives assurance regarding how the Internal Audit Function is resourced, managed, organised and delivers its responsibilities.

Items for Information

13. Audit Scope and additional Work 2019-20 (*Pages 79 - 86*)

The Council's External Auditor, Grant Thornton, will submit a letter presenting an updated Audit Plan for 2019/20 providing an update of the scope of audit work planned including additional work being undertaken, detailing in particular the addition of a significant audit risk in respect of Covid 19, giving details of the Value for Money and Financial Standing position as well as various Regulatory Changes and the potential impact on Fees charged.

14. Audit Committee Work Plan (*Pages 87 - 90*)

The Committee will receive the indicative Audit Committee Work Plan for the period June 2020 to March 2021.

15. Exclusion of the Public and Press

To consider if the public and press should be excluded from this meeting during consideration of the following items because of the likely disclosure of exempt information.

16. Indicative Internal Audit Plan 2020/21 (*Pages 91 - 96*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report presenting an updated indicative Internal Audit Plan for the financial (audit) year 2020/21. (The original Plan had been intended to be submitted to the March meeting which was subsequently cancelled). The updated Plan accommodates urgent advice and assurance work in response to the impact of Covid 19.

Reason restricted:

Paragraph (7) Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.

To: Chair and Members of Audit Committee:-

Councillors Richardson (Chair), Barnard, P. Birkinshaw and Lofts; together with Independent members Ms K Armitage, Ms D Brown, Mr S Gill, Mr P Johnson and Mr M Marks

Chief Executive

All Executive Directors

Shokat Lal, Executive Director Core Services

Rob Winter, Head of Internal Audit

Neil Copley, Service Director Finance (Section 151 Officer)

Ian Rooth, Head of Financial Services

Michael Potter, Service Director Business Improvement and Communications

Council Governance Unit – 3 copies

Please contact William Ward on email governance@barnsley.gov.uk

Tuesday, 26 May 2020